

STATEMENT UNDER 37 C.F.R. 3.73(b)

Applicant/Invent Owner: Adams et al.
Application No./Patent No.: 10/717,288
Filed/Issue Date: November 18, 2003
Entitled: SURFACE-MODIFIED SEMICONDUCTIVE AND METALLIC
NANOPARTICLES HAVING ENHANCED DISPERSIBILITY
IN AQUEOUS MEDIA

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Invitrogen Corporation (name of assignee), a corporation (type of organization), states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application/patent identified above by virtue of either,

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at

B. Reel _____, Frame _____, or for which a copy thereof is attached.

OR

C. ☒ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: Adams et al. To: Quantum Dot Corporation

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2. From: Quantum Dot Corporation To: Invitrogen Corp.

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☐ Additional documents in the chain of title are listed on a supplemental
sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e. the original assignment document or a true copy of
the original document) must be submitted to Assignment Division in accordance
with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO.
See MPEP 302.302.6]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of
the assignee.

Signature:



Typed or printed name:

Christopher J. Buntel, Ph.D., J.D.

Title:

Intellectual Property In-House Counsel

Date:

27 JUL 06